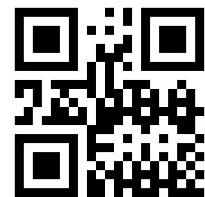




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## 4 Context of the organization

### Context of the organization

Has your organization determined:

External and internal issues, relevant to its purpose and that affect your ability to achieve the intended outcome(s) of your OH&S MS?

☐

Ready

☐

Nearly Ready

☐

More Work

☐

N/A

Other interested parties, in addition to workers, that are relevant to the OH&S MS?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

The relevant needs and expectations (i.e. requirements) of workers and other interested parties?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Which of these needs and expectations are or could become applicable legal requirements and other requirements?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

### **Determining the scope of the OH&S MS**

---

Has your organization

---

Determined the boundaries and applicability of the OH&S MS to establish the scope?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Considered the external and internal issues and taken into account these requirements?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Taken into account the planned or performed work-related activities?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Made the scope available as documented information?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

## 5 Leadership

---

### Leadership and worker participation

---

Does top management:

---

Demonstrate leadership and commitment with respect to the OH&S MS?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Ensure that the OH&S policy and OH&S objectives are established and compatible with the strategic direction of the organization?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Ensure the integration of the OH&S MS processes and requirements into your organization's business processes?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Communicate the importance of effective OH&S management and of conforming to the OH&S MS requirements?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Ensure that the OH&S MS achieves its intended outcome(s)?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Direct and support workers to contribute to the effectiveness of the OH&S MS?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Ensure and promote continual improvement?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Support other relevant management roles to demonstrate their leadership as it applies to their areas of responsibility?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Develop, lead and promote a culture in the organization that supports the OH&S MS?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Protect workers from reprisals when reporting incidents, hazards, risks and opportunities?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Establish and implement a process(es) for consultation and participation of workers?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

## OH&S Policy

---

Has top management, established, implemented and maintained an OH&S policy that:

---

Includes a commitment to provide safe and healthy working conditions for the prevention of work-related injury?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Includes a commitment to eliminate hazards and reduce OH&S risks?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Includes a commitment to consultation and participation of workers, and where they exist, workers representatives?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

## Organizational roles, responsibilities, accountabilities and authorities

---

Does top management:

---

Ensure responsibilities, accountabilities and authorities for relevant roles within the OH&S management system are assigned and communicated at all levels within the organization and maintained as documented information?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Assign the responsibility and authority to ensure the OH&S management system conforms to the requirements of ISO 45001?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Report on the performance of the OH&S management system?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

### Consultation and participation of workers

---

Does your organization establish, implement and maintain processes for consultation and participation of workers at all applicable levels and functions and where they exist, workers representatives in the development, planning, implementation, evaluation and actions for improvement of the OH&S management system?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Does your organization

---

Provide mechanisms, time, training and resources necessary for participation?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Provide timely access to clear, understandable and relevant information about the OH&S management system?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Determine and remove obstacles or barriers to participation and minimized those that cannot be removed?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Does your organization emphasize the consultation of non-managerial workers on the following:

---

Determining the needs and expectations of interested parties?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Establishing the OH&S policy?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Assigning organizational roles, responsibilities, accountabilities and authorities as applicable?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Determining how to fulfil legal requirements and other requirements?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Establishing OH&S objectives and planning to achieve them?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Determining applicable controls for outsourcing, procurement and contractors?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Determining what needs to be monitored, measured and evaluated?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Planning, establishing, implementing and maintaining an audit program?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Ensuring continual improvement?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Does your organization emphasize the consultation of non-managerial workers on the following:

---

Determining the mechanisms for their consultation and participation?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Hazard identification and assessment of risks and opportunities?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Actions to eliminate hazards and reduce OH&S risks?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---



Identification of competency requirements, training needs, training and evaluation of training?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Determining what needs to be communicated and how this will be done?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Determining control measures and their effective implementation and use?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Investigating incidents and nonconformities and determining corrective actions?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

## 6 Planning

### Planning for the OH&S management system

Does your organization consider its context, interested parties and the scope of the OH&S MS and determine the risks and opportunities that need to be addressed to:

Give assurance that the OH&S MS can achieve its intended outcome(s)?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

Prevent, or reduce, undesired effects?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

Achieve continual improvement?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

Does your organization plan actions to:

Address risks and opportunities?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

Address applicable legal requirements and other requirements?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

Prepare for, and respond to, emergency situations?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Does your organization plan how to:

---

Integrate and implement the actions into its OH&S MS processes or other business processes?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Evaluate the effectiveness of these actions?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

**OH&S objectives and planning to achieve them**

---

Has your organization established OH&S objectives at relevant functions and levels to maintain and improve the OH&S management system and its performance?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Are the OH&S objectives:

---

Consistent with the OH&S policy?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Measurable, if practicable or capable of performance evaluation?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Monitored?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Communicated?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Updated as appropriate?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Taking into account:

---

Applicable requirements?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

The results of the assessment of risks and opportunities?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

The results of consultation with workers, and where they exist, workers representatives?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

## 7 Support

### Support

Does your organization ensure that workers are competent (including the ability to identify hazards) on the basis of appropriate education, induction, training, or experience:

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

Does your organization make workers aware of:

The OH&S policy and OH&S objectives?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

Their contribution to the effectiveness of the OH&S MS, including the benefits of improved OH&S performance?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

The ability to remove themselves from work situations that they consider present an imminent danger to their life or health, as well as the arrangements for protecting them from undue consequences for doing so?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

### Information and communication

Has your organization established, implemented and maintained the process(es) needed for the internal and external communications relevant to the OH&S management system

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Has your organization determined relevant to the the OH&S MS communications process:

---

On what it will communicate?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

When to communicate?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

With whom to communicate?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

How to communicate?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Does your organization:

---

Ensure its communication process(es) enables workers to contribute to continual improvement?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

## 8 Operation

### Operation planning and control

Does your organization determine, plan, implement, control and maintain the processes to meet requirements of the OH&S MS by:

Maintaining and retaining documented information to the extent necessary to have confidence that the processes have been carried out as planned?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

On multi-employer workplaces, has the organization implemented a process for coordinating the relevant parts of the OH&S MS with other organizations?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

### Management of change

Does your organization review the consequences of unintended changes and take action to mitigate any adverse effects, as necessary?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

### Procurement

Has your organization established, implemented and maintained a process(es) to control the procurement and services in order to ensure their conformity with the OH&S MS?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

## Contractors

---

Has your organization co-ordinated its procurement process(es) with its contractor(s) to identify hazards and to assess and control the OH&S risks arising from the:

---

Contractors' activities and operations that impact the organization?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Organization's activities and operations that impact the contractors?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Contractors' activities and operations that impact other interested parties in the workplace?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Has the organization ensured that the requirements of its OH&S MS are met by contractors and their workers?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Does the organization's procurement process(es) define and apply OH&S criteria for the selection of contractors?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

## Outsourcing

---



Has your organization ensured that outsourced functions and processes are controlled?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Has your organization ensured that its outsourcing arrangements are consistent with legal requirements and other requirements and with achieving the intended outcomes of the OH&S MS?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Has your organization clearly defined the type and degree of control to be applied to these functions and processes within the OH&S MS?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

## 9 Performance evaluation

### Performance evaluation

Has the organization determined:

What needs to be monitored and measured?

☐ Ready    ☐ Nearly Ready    ☐ More Work    ☐ N/A

The methods for monitoring, measurement, analysis and performance evaluation, as applicable, to ensure valid results?

☐ Ready    ☐ Nearly Ready    ☐ More Work    ☐ N/A

The criteria against which the organization will evaluate its OH&S performance?

☐ Ready    ☐ Nearly Ready    ☐ More Work    ☐ N/A

When the monitoring and measuring shall be performed?

☐ Ready    ☐ Nearly Ready    ☐ More Work    ☐ N/A

When the results from monitoring and measurement shall be analyzed, evaluated and communicated?

☐ Ready    ☐ Nearly Ready    ☐ More Work    ☐ N/A

Does your organization retain appropriate documented information:

As evidence of the monitoring, measurement, analysis and performance evaluation results?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

On the maintenance, calibration or verification of measuring equipment?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

### **Internal Audit**

---

Has your organization:

---

Planned, established, implemented and maintain an audit program(s) including the consultation requirements, which takes into consideration the importance of the processes concerned and the results of previous audits?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Selected competent auditors and conducted audits to ensure objectivity and the impartiality of the audit process?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Ensured that the results of the audits are reported to relevant managers, workers, and where they exist, workers representatives, and other relevant interested parties?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

### **Management review**

---

Does management review include consideration of: changes in external and internal issues that are relevant to the OH&S management system including risks and opportunities?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Do the outputs of the management review include decisions related to: Opportunities to improve integration of the OH&S MS with other business processes?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

Has top management communicated the relevant outputs of the management review to its relevant workers, and where they exist, workers representatives?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

---

## 10 Improvement

### Improvement

Have you determined:

What to do when an incident or a nonconformity occurs, does the organization react in a timely manner to the incident or nonconformity and as applicable: 1. Take action to control, and correct it? 2. Deal with the consequences?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

Does the organization evaluate, with the participation of workers (see 5.4) and the involvement of other relevant interested parties, the need for corrective action to eliminate the root cause(s) of the incident or nonconformity, in order that it does not recur or occur elsewhere, by: 1. Investigating the incident or reviewing the nonconformity? 2. Determining the cause(s) of the incident or nonconformity? Determining if similar incidents or nonconformities exist, or could potentially occur?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

Does the organization communicate the ISO 45001:2018 documented information to relevant workers and where they exist, workers representatives and other interested parties?

☐ Ready      ☐ Nearly Ready      ☐ More Work      ☐ N/A

## Completion

### General comments and observations

Enter text

Sign off



Please note that this checklist is a hypothetical example and provides basic information only. It is not intended to take the place of, among other things, workplace, health and safety advice; medical advice, diagnosis, or treatment; or other applicable laws. You should also seek your own professional advice to determine if the use of such checklist is permissible in your workplace or jurisdiction.